

SQUIRE, SANDERS & DEMPSEY L.L.P.

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SQUIRE
SANDERS

LEGAL
COUNSEL
WORLDWIDE

November 8, 2002

PLEASE DELIVER THESE PAGES IMMEDIATELY

No. of Pages (including cover): 7

To: Latrice Sims

Facsimile No: (703) 308-5077

Company: U.S. Patent & Trademark Office - Refunds
Division

Confirmation No: (703) 305-4229

From: Marc A. Sockol

Direct Dial No: +1.650.843.3392

E-mail: msockol@ssd.com

Re: Request for Refund for Deposit Account No. 05-0150

Message:

Please see attached.

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Sender No.: 07608

91046.00888

Sandy Yi

Job No:

Account No.

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PATENT

Attorney Docket No.: 43630.00045

02-12-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this paper is, on the date shown below, being transmitted via facsimile to the U.S. Patent and Trademark Office,
Attn: Ms. Laurice Sims, (703) 308-5077.

Date: November 8, 2002

By: Sandy Yi
Sandy Yi

In re Application of:		Examiner:	Geckil, Mehmet B.
	Daniel J. Mendez, et al.	Art Unit:	2152
Serial No.:	09/666,877		
Filed:	September 20, 2000		
Title:	SYSTEM AND METHOD FOR GLOBALLY AND SECURELY ACCESSING UNIFIED INFORMATION IN A COMPUTER NETWORK		

Attn: Refund Section, Accounting Division, Office of Finance
Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR REFUND (IMPROPER CHARGE OF DEPOSIT ACCOUNT)

Sir:

Applicant respectfully requests a refund of an incorrect charge to Deposit Account No. 05-0150, as identified on the enclosed statement dated October 31, 2002, for the above-identified patent application. For convenience, we circled the error on the attached deposit account statement.

FEES CHARGES FOR WHICH REFUND IS REQUESTED

On October 3, 2002, the USPTO charged \$180.00 for submission of an Information Disclosure Statement (Fee Code 1806) to Deposit Account No. 05-0150 for Attorney Docket No.

In re Mendez, et al.
U.S. Appln. No.: 09/666,877

Page 1 of 2
45100

PATENT
Attorney Docket No.: 43630.00045

43630.00045 (Serial No. 09/666,877). Accordingly, we respectfully request refund of the \$180.00 charged.

EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

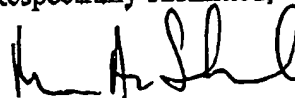
On September 19, 2002, Marc A. Sockol of Squire, Sanders & Dempsey, L.L.P. filed a Supplemental Information Disclosure Statement and associated documents with the U.S. Patent and Trademark Office. The fee transmittal that accompanied the Supplemental Information Disclosure Statement authorized the USPTO to credit and overpayments and charge any additional fees during the pendency of the application to Deposit Account No. 05-0150; however, it clearly indicated on the transmittal that there should be no charge for the submittal of the Supplemental Information Disclosure Statement. The Information Disclosure Statement was filed within three (3) months from receipt of the international search report, which was July 25, 2002; therefore, no fees should have been incurred. We are enclosing a copy of the Information Disclosure Statement and Fee Transmittal for your reference. As a result, we respectfully submit that this charge is in error.

Therefore, we respectfully request a refund of \$180.00 via a credit to Deposit Account No. 05-0150. If you have any questions or need additional documentation, please contact the undersigned.

Date: 11-8-02

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
Facsimile (650) 843-8777

Respectfully submitted,



Marc A. Sockol, Esq.
Attorney for Applicant
Reg. No. 40,823

**Deposit Account Statement**

Requested Statement Month: October 2002
Deposit Account Number: 050150
Name: SQUIRE, SANDERS AND DEMPSEY L.L.P.
Attention: MARC A SOCKOL
Address: SQUIRE, SANDERS & DEMPSEY L.L.P.
City: PALO ALTO
State: CA
Zip: 94304-1043

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/02	85	905579		8023	\$40.00	\$57,044.00
10/02	86	905579		8014	\$125.00	\$56,919.00
10/02	362	10240123	59623.00003	2613	\$445.00	\$56,474.00
10/02	363	10240123	59623.00003	2615	\$306.00	\$56,168.00
10/02	364	10240123	59623.00003	2616	\$140.00	\$56,028.00
10/02	365	10240123	59623.00003	8021	\$40.00	\$55,988.00
10/02	424	10240127	59623.00002	2613	\$445.00	\$55,543.00
10/02	425	10240127	59623.00002	2615	\$72.00	\$55,471.00
10/02	426	10240127	59623.00002	2616	\$140.00	\$55,331.00
10/02	427	10240127	59623.00002	8021	\$40.00	\$55,291.00
10/03	1	09666877	43630.00045	1806	\$180.00	\$55,111.00
10/03	187	906381		8007	\$60.00	\$55,051.00
10/07	47	10263581	38988.00016	2001	\$370.00	\$54,681.00
10/07	48	10263581	38988.00016	2201	\$84.00	\$54,597.00
10/07	49	10263581	38988.00016	2202	\$36.00	\$54,561.00
10/08	16	09376768	004286.P001	2801	\$370.00	\$54,191.00
10/08	39	5412801		1552	\$2,020.00	\$52,171.00
10/09	130	10159488	44176.00039	1051	\$130.00	\$52,041.00
10/09	131	10159488	44176.00039	1251	\$110.00	\$51,931.00
10/10	41	10159488	44176.00039	8021	\$40.00	\$51,891.00
10/10	131	10263581	38988.00016	8021	\$40.00	\$51,851.00
10/16	174	10269539	50623.253	1001	\$740.00	\$51,111.00
10/17	161	10233292	50740.00014	2001	\$370.00	\$50,741.00
10/17	162	10233292	50740.00014	2051	\$65.00	\$50,676.00
10/21	108	10271935	58247.00003	2001	\$370.00	\$50,306.00
10/21	109	10271935	58247.00003	2201	\$462.00	\$49,844.00
10/21	110	10271935	58247.00003	2202	\$144.00	\$49,700.00
10/21	116	60419291	01234.50020	2005	\$80.00	\$49,620.00

PATENT
Attorney Docket No.: 43630.00045

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on

Date: 9/19/2002By: Sandy Yi

Sandy Yi

In re Application of:	
Daniel J. Mendez, et al.	Examiner: Geckil, Mehmet B.
Serial No.: 09/666,877	Art Unit: 2152
Filed: September 20, 2000	
Title: SYSTEM AND METHOD FOR GLOBALLY AND SECURELY ACCESSING UNIFIED INFORMATION IN A COMPUTER NETWORK	

Commissioner for Patents
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §1.97(e)(1)**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The information was first cited in a communication from the International Searching Authority in a counterpart international application not more than three months prior to the filing of the present Supplemental Information Disclosure Statement. The Examiner is requested to make this information of official record in the application. Please initial and return the enclosed Form PTO-1449, indicating that the references have been considered and made of record herein.

PATENT
Attorney Docket No.: 43630.00045

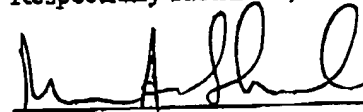
The information presented herewith is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

If for any reason an insufficient fee has been paid, please charge the insufficiency to
Deposit Account No. 05-0150.

Date: 9/19/02

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Telephone (650) 856-6500
Facsimile (650) 843-8777

Respectfully submitted,



Marc A. Sockol, Esq.
Attorney for Applicants
Reg. No. 40,823

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

0

Complete if Known

Application Number 09/888,877

Filing Date September 20, 2000

First Named Inventor Daniel J. Mendez

Examiner Name Geckli, Mehmet B.

Group / Art Unit 2152

Attorney Docket No. 43630.00046

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order☒ Deposit AccountDeposit
Account
Number

05-0150

Deposit
Account
Name

Squire, Sanders & Dampney, LLP.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
108	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	180	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	0		0
Independent Claims	0		0
Multiple Dependent			0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	820	217	400	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unrevocable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	820	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (4)	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
148	740	248	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 0)

SUBMITTED BY

Name (Print/Type) Marc A. Sockol

Registration No. Attorney/Agent

40,823

Telephone

650.858.8500

Signature

Date

September 19, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.